

Part B – If your answer to question 7 in Part A is a YES, please provide details in relation to Financial Institutions/ Foreign Financial Institutions or Direct Reporting NFFEs

Particulars	Details of applicant
<p>The entity is:</p> <p>1. Financial Institution <input type="checkbox"/></p> <p>2. Direct Reporting NFFE <input type="checkbox"/></p>	<p>Global Intermediary Identification Number (GIIN)</p> <p>_____</p> <p><i>Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN and name below:</i></p> <p>Name of sponsoring entity: _____</p> <p>Sponsoring Entity's GIIN: _____ (If GIIN provided, please go directly to the declaration and acknowledgment)</p>
<p>If GIIN not available [tick any one]: (Not applicable to Direct Reporting NFFE)</p>	<p>a. Not obtained: <input type="checkbox"/> b. Applied for <input type="checkbox"/></p> <p style="text-align: center;">_____] [insert application date]</p> <p>c. GIIN not required</p> <p>Please specify reasons: _____</p> <p>Please insert code: _____</p>

Part C – If your answer to question 8 in Part A is a YES, please provide following details:

Sr No	Particulars	Details of applicant
1.	a. Are you a publicly traded company?	Yes <input type="checkbox"/> No <input type="checkbox"/> <i>[Please fill C1(b)]</i> <i>(Please fill C2)</i>
	b. Are your shares regularly traded on a recognized stock exchange	Yes <input type="checkbox"/> No <input type="checkbox"/> <i>(Please fill C2)</i> If yes, please provide name of the stock exchange where the shares are regularly traded: 1. _____ 2. _____ <i>(Please go to Declaration and Acknowledgment)</i>
2.	Are you a related entity# of a listed company mentioned in Part C (1) above <i># Related entity – An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control</i>	Yes <input type="checkbox"/> No <input type="checkbox"/> Nature of relation with the related entity: <i>(Please fill C3)</i> <input type="checkbox"/> Subsidiary of the listed company <input type="checkbox"/> Controlled by a listed company If yes, please provide name of the related entity that is listed : _____ Name of the stock exchange where the shares of the related listed entity are regularly traded: 1. _____ <i>(Please go to Declaration and Acknowledgment)</i>
3.	Entity is an Active NFFE	Please specify nature of business _____ Category: _____ <i>(Refer codes in Instruction 3)</i> <i>(Provide UBO details in Part D and go to Declaration and Acknowledgment)</i>

Part D – If your answer to question 8 in Part A is a NO, please provide following details:

Entity is an Passive NFFE Entity is Active
 (other than Direct reporting NFFE)
 Please specify nature of business: _____

Provide details of all UBO/s or Controlling person/s, [natural persons as per PMLA] (including Owner Documented FFI's in the table below
 Are you an Owner-documented FFI's - Yes No
 If 'Yes', in addition to the below details, please provide a duly filled form W8BEN E along with FFI Owner Reporting Statement and Auditor's Letter.
 If 'No', Please provide below details only.

Name of UBO	Date of Birth	Gender	Country of Tax residency	Nationality	Residence address for tax purposes	Fathers name (If PAN not available)	Tax identification number or equivalent & Tax identification/ functional equivalent document	Identification document: Passport/ PAN etc.	City and Country of Birth	UBO Code

Note: In case of a multiple intermediaries, please provide the shareholding / controlling structure of each such intermediary/ies.
 A. If any of the UBO is a resident / citizen of 'other than India' or citizen / tax resident / green card holder of country, please provide Taxpayer ID Number or equivalent / Social Security Number [SSN]
 B. Submit documentary proof like shareholding pattern duly self-attested by Authorized Signatory / Company Secretary
 C. If number of UBOs are greater than 4 or the space required is insufficient, information in the given format can be given in additional sheets
 Please also fill the Beneficial Owner Customer Relation Form for the UBOs

Declaration & Acknowledgement	I / We _____ being the beneficial owner of the account opened / to be opened with Almondz global sect ltd and the income credited therein declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed I / We acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Broker may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Broker does not receive a valid self-certification from me) the Broker may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me, I ensure that I will intimate the Broker promptly, i.e., within 30 days. Towards compliance with such laws, the Broker may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Broker may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).			
Customer / Authorized person Signature & Designation				
Date				

Broker use section

Signature Verified by Receiver's Stamp

Date